

FROM ARMSTRONG, WESTERMAN

(THU) 1.16'03 12:26/ST. 12:25/NO. 4864272825 P 1

Law Offices
ARMSTRONG, WESTERMAN & HATTORI, LLP
Suite 1000
1725 K Street
Washington, D.C. 20006

(202) 659-2930

Facsimile (202) 887-0357

Facsimile (202) 331-7519

FACSIMILE TRANSMISSION COVER SHEET

DATE: January 16, 2003

TO: Examiner Flores Ruiz
RE: Application 09/511,188
(Attorney Docket No. 000202)
FROM: Joseph L. Felber

NUMBER OF PAGES (INCLUDING THIS COVER SHEET): 10

FACSIMILE TELEPHONE NUMBER: 703-746-3056

FAX COPY RECEIVED

JAN 16 2003

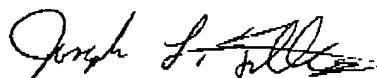
TECHNOLOGY CENTER 2800

Dear Examiner Flores Ruiz:

Thank you for the telephone call to advance prosecution. Accompanying this facsimile cover sheet is the Response under 37 C.F.R. § 1.111 and Request for Approval of Drawing Changes filed in the PTO via facsimile on December 5, 2002. As proof of timely filing the papers on December 5, 2002, I include a facsimile transmission report and the PTO automatic reply. Accordingly, I believe you will have all the requested materials.

Also filed via facsimile on December 5, 2002 were verified English translations of the Japanese priority documents. Because you did not ask for copies of those papers today, such is not presently included. However, if the file wrapper is not complete due to an absence of these papers, please contact me for a duplicate submission.

Sincerely,



Joseph L. Felber
Reg. No. 48,109

JLF:

THE INFORMATION CONTAINED IN THIS MESSAGE IS CONFIDENTIAL INFORMATION INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED. This message may also be an attorney/client communication which is privileged and/or confidential. If the reader of this message is not the intended recipient, or is not an employee or agent responsible to deliver it to the intended recipient, you are hereby notified that any distribution or copying of this communication is strictly prohibited. If you have received this communication in error, please notify us immediately by calling us collect and return the original message to us at

FROM ARMSTRONG, WESTERMAN

(THU) 1. 16' 03 12:26/ST. 12:25/NO. 4864272825 P 2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **SHIMOYAMA et al.**

Group Art Unit: **2828**

Serial No.: **09/511,188**

Examiner: **FLORES RUIZ, Delma R**

Filed: **February 23, 2000**

P.T.O. Confirmation No.: **4217**

For: **SEMICONDUCTOR OPTICAL DEVICE APPARATUS**

RESPONSE UNDER 37 CFR § 1.111

Commissioner for Patents
Washington, D.C. 20231

December 5, 2002

Sir:

In response to the Office Action dated July 5, 2002, the period for response extended to December 5, 2002 by petitioning for a two-month extension of time (see below), applicants request the following amendment:

IN THE DRAWINGS:

Submitted concurrently with the present response is a Request for Approval of Drawing

02/05/2003 AFORD1 0000002 012340 09511188

Changes: No new matter is proposed. Entry of the proposed drawings is hereby requested.

Adjustment Date: 02/05/2003 AFORD1

02/03/2003 ACANTY 0000009 012340 09511188

01 FC:1254 1440.00 CR

02/03/2003 ACANTY 0000009 012340 09511188

01 FC:1254 1440.00 CH

FAX COPY RECEIVED

JAN 16 2003

TECHNOLOGY CENTER 2800